

## Ray Optics

ray equations

$$\left\{ \begin{array}{l} \frac{d\vec{x}}{dt} = \frac{\partial \vec{w}}{\partial \vec{k}} \\ \frac{d\vec{k}}{dt} = -\frac{\partial \vec{w}}{\partial \vec{x}} \\ \frac{\partial \vec{w}}{\partial t} = \frac{\partial \vec{w}}{\partial \vec{x}} \end{array} \right.$$

Action Conservation  
"photon number"  
"parallel Transport"  
Polarization

symmetries  $\longleftrightarrow$  conservation laws "Noether's Theorem"

if  $\omega = \frac{c}{n(\vec{x}, t)} \sqrt{\vec{k} \cdot \vec{k}}$

if  $\frac{\partial n}{\partial x} = 0 \Rightarrow k_x$  is conserved ie  $\frac{dk_x}{dt} = 0$

if  $\frac{\partial n}{\partial y} = 0 \quad \frac{dk_y}{dt} = 0$

if  $\frac{\partial n}{\partial z} = 0 \quad \frac{dk_z}{dt} = 0$

if  $\frac{\partial n}{\partial t} = 0 \quad \frac{dw}{dt} = 0$

if  $n$  is rotationally invariant about  $\hat{z}$

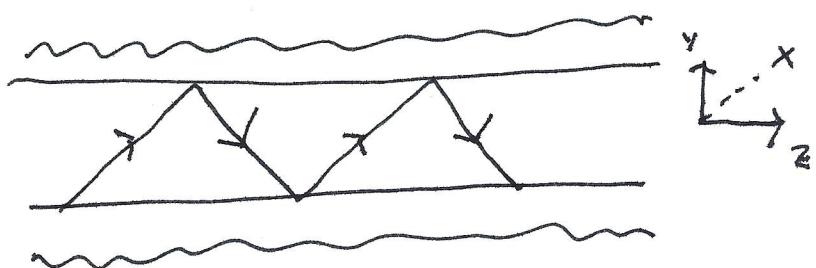
then  $L_z = \hat{z} \cdot (\vec{r} \times \vec{k})$  is conserved

"skew invariant"

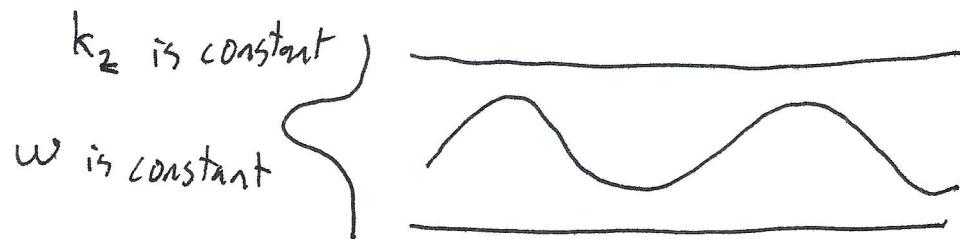
e.g. optical fiber

$k_z$  is constant

$w$  is constant

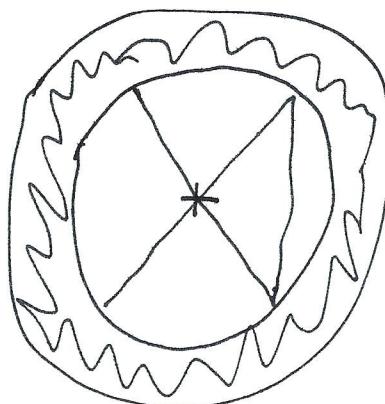
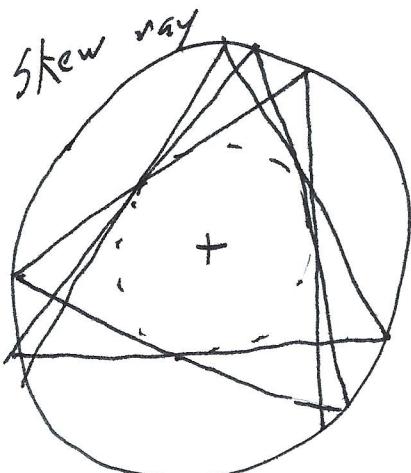


graded-index fiber



$L_z$  is constant

head-on



meridional rays  
cross the optic  
axis  $L_z = 0$

skew rays do not  
intersect optic axis

$L_z \neq 0$

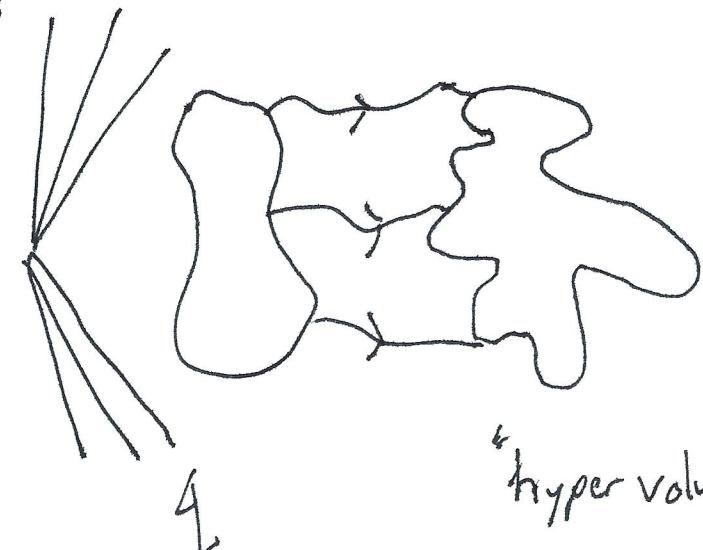
$|L_z| \propto$  smallest radius of  
approach to the optic axis

# Dynamical invariants / conserved quantities

## Noether's Theorem

### Phase space invariants

$p$  Liouville's Theorem

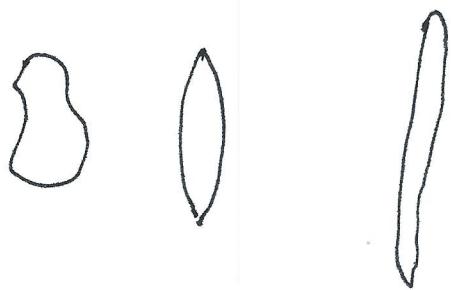


"hyper volume" is conserved

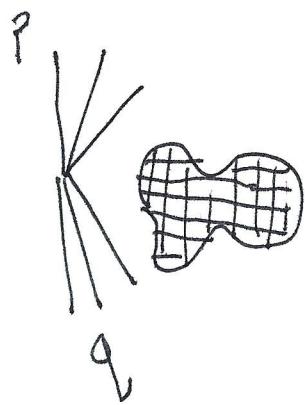
Liouville's Theorem in  $(\vec{x}, \vec{k})$



Transverse phase-space "area" is "etendue"  
extent



# Classical phase space



phase space cells  
of size  $(\hbar)$ <sup>power</sup>

# of quantum states

phase space volume

$\hbar^N$

# of particles

number of  
spatial dimensions

$$\sim \# \text{ of modes} \sim \frac{\text{hypervolume in } (\vec{x}, \vec{k}) \text{ space}}{(2\pi)^3}$$

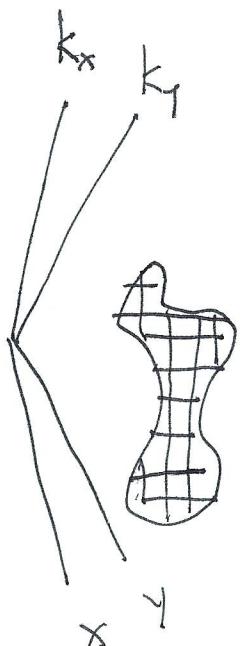
$$\# \text{ modes} = \# \text{ of transverse modes} \cdot \# \text{ of longitudinal modes}$$

||

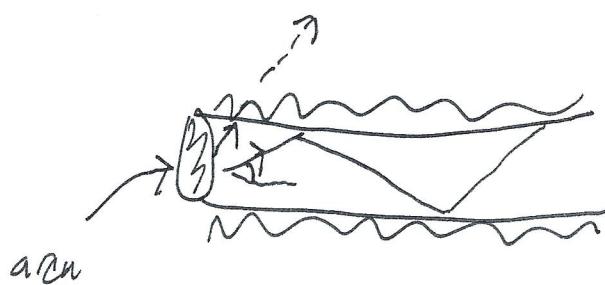
hypervol

hyperarea in  $(x, y, k_x, k_y)$  space

$(2\pi)^2$



$$\sim \# \text{ of } \perp \text{ modes}$$



area

$$\rightarrow \frac{\text{transverse "phase space" area}}{(2\pi)^2}$$

= Fiber parameter

$\approx \# \text{ of transverse modes}$

Asymptotically  
accurate.

Typically geometric ray optics limit is better when  
 # of modes is big

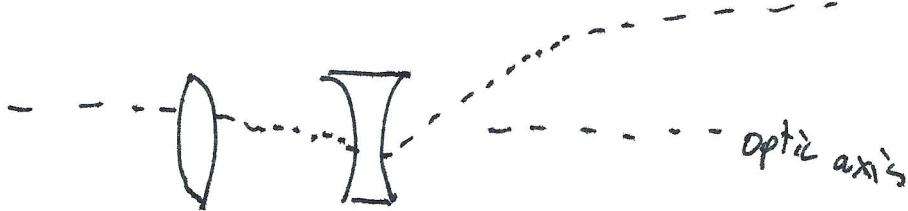
$$\omega = \frac{c}{n} \sqrt{\vec{k} \cdot \vec{k}} \quad n = n(\vec{x})$$

$$\frac{d\vec{x}}{dt} = \frac{\partial \omega}{\partial \vec{k}} = \frac{c}{n} \hat{\vec{k}}$$

$$\frac{d\vec{k}}{dt} = -\frac{\partial \omega}{\partial \vec{x}} = \omega \frac{\vec{n}}{n}$$

$$\frac{d\omega}{dt} = \frac{\partial \omega}{\partial t} = 0$$

use  $\tau$  as  
 evolution parameter



$$\frac{d\vec{x}}{dz} = \frac{d\vec{x}}{d\tau} \frac{d\tau}{dz} = \frac{\frac{c}{n} \vec{k}}{\frac{c}{n} \frac{\vec{k}}{k_z}} = \frac{\pi v}{k_z}$$

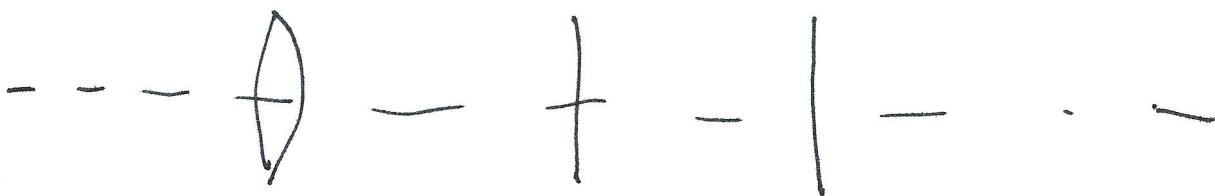
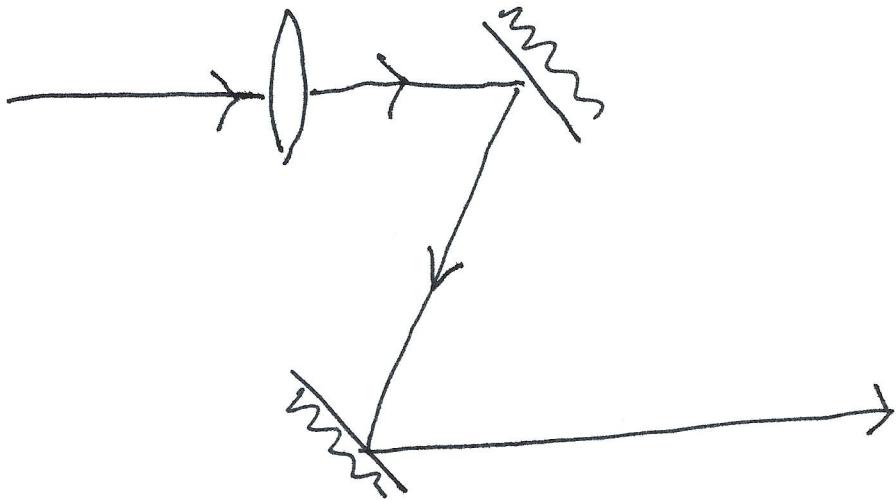
"paraxial" or near-axis approximation (small angles)

$$n \frac{dx}{dz} = n \frac{k_x}{k_z} \approx \frac{n}{k} k_x = \frac{c}{\omega} k_x$$

constant

Often axisymmetric assumption  $x$  and  $y$  are equivalent variables we need to track are  $\begin{bmatrix} x \\ nx' \end{bmatrix}$  as a function of  $z$  along optic axis

"z" axis



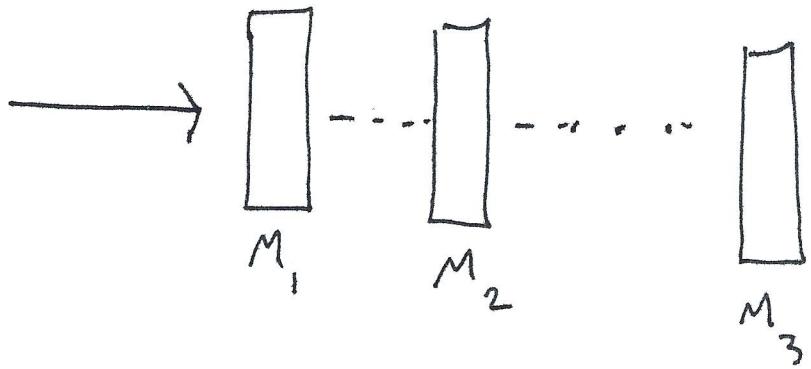
Ray-tracing

paraxial axisymmetric limits

↑  
linearize  
in angles

↑  
only  $x, x'$

Ray-tracing  $\longleftrightarrow$  multiplying by ray-tracing matrices



$$\begin{bmatrix} x \\ nx' \end{bmatrix} \Big|_{z_f} = M_N \cdots M_3 M_2 M_1 \begin{bmatrix} x \\ nx' \end{bmatrix} \Big|_{z_i}$$

"drift" propagation in uniform medium

$$x'_f = x'_i \quad nx'_f = nx'_i$$

$$x_f = x_i + \Delta z x'_i$$

$$\begin{bmatrix} x_f \\ nx_f \end{bmatrix} = \begin{bmatrix} 1 & \frac{\Delta z}{n} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x_i \\ nx_i \end{bmatrix}$$

"thin lens"

$$x_f = x_i$$

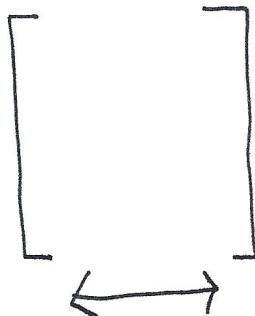
$$x'_f = x'_i + -\frac{n}{f} x_i$$

$$\begin{bmatrix} x_F \\ nx'_E \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ -\frac{n}{F} & 1 \end{bmatrix} \begin{bmatrix} x_i \\ nx'_i \end{bmatrix}$$

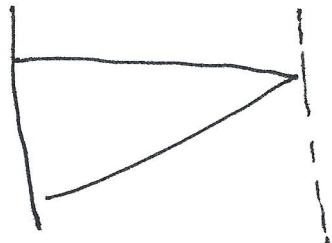
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thin lens

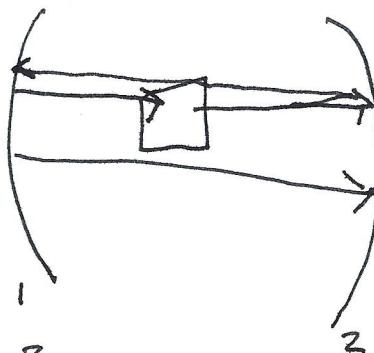
thick lens



e.g. Stability of laser cavity



flat mirrors are inefficient



stability?

D drift

F reflect

Look at one round trip of a ray

$$M = F_1 D F_2 D$$

one round trip

unstable if one or more eigenvalues of M  
 $|\lambda| > 1$

$$M\vec{u} = \lambda \vec{u}$$

Liouville's Theorem  $\Rightarrow \det M = 1$

$$\lambda_1, \lambda_2$$

stability requires  $|\lambda_1| \leq 1$   $|\lambda_2| \leq 1$

$$|\lambda_1| = |\lambda_2| = 1$$